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Title: Harnessing the immune system via FcγR function in immune therapy: A pathway to next-gen mAbs.

Running title: *FcγR and mAb immune Therapy*

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Abstract

The human FcγRs interact with antigen-complexed IgG ligands to both activate and modulate a powerful network of inflammatory host-protective effector functions that are key to the normal physiology of immune resistance to pathogens. More than 100 therapeutic monoclonal antibodies (mAbs) are approved or in late stage clinical trials, many of which harness the potent FcγR-mediated effector systems to varying degrees. This is most evident for antibodies targeting cancer cells inducing antibody-dependent killing or phagocytosis but is also true to some degree for the mAbs that neutralise or remove small macromolecules such as cytokines or other immunoglobulins. The use of mAb therapeutics has also revealed a “scaffolding” role for FcγR which, in different contexts, may either underpin the therapeutic mAb action such as immune agonism or may trigger catastrophic adverse effects. The still unmet therapeutic need in many cancers, inflammatory diseases or emerging infections such as SARS-CoV-2, requires increased effort on the development of improved and novel mAbs. A more mature appreciation of the immunobiology of individual FcγR function and the complexity of the relationships between FcγRs and antibodies is fuelling efforts to develop more potent “next-gen” therapeutic antibodies. Such development strategies now include focused glycan or protein engineering of the Fc to increase affinity and/or tailor specificity for selective engagement of individual activating FcγRs or the inhibitory FcγRIIb or alternatively, for the ablation of FcγR interaction altogether. This review touches on recent aspects FcγR and IgG immunobiology and its relationship to the present and future actions of therapeutic mAbs.

1 INTRODUCTION

2 The regulatory approval of the first therapeutic monoclonal antibodies, or mAbs, in the 1980s
3 ushered in the modern era of immune therapy. Since then, mAbs have become one of the most
4 clinically successful therapeutic modalities across a diverse array of diseases. They have
5 revolutionised the treatment of chronic inflammatory diseases and of some cancers including
6 otherwise incurable malignancies.¹ They are commercially important and in 2017, five mAbs
7 collectively grossed \$45.6 billion in sales, placing them in the top ten drugs globally.² MAb
8 development is expanding rapidly with over 100 mAbs approved for clinical use or in late stage
9 clinical trials and over 600 in various stages of clinical development.¹

10 The therapeutic actions of mAbs can take many forms - neutralisation of the target such as
11 cytokines in autoimmune disease, clearance of the target such as virus in infection or IgE in
12 allergy, induction of innate effector cell activation that leads to target destruction by direct killing
13 or the induction of apoptosis, and the induction of adaptive immunity. Most therapeutic mAbs are
14 IgG in origin and the heavy chain subclass determines many of their biological properties
15 including their long plasma half-life³, complement activation which is important in the action of
16 some cytotoxic mAbs⁴⁻⁶ and importantly engagement by their Fc region with specific cell surface
17 receptors, called FcγR, the subject of this review.

18 In normal homeostatic immunity, there is a balance between IgG immune complex activation of
19 proinflammatory responses through the activating-type FcγRs - which leads to the destruction of
20 opsonised pathogens - and of the modulation of these destructive effector responses by the
21 inhibitory-type FcγR thereby avoiding injury to the host. Thus, therapeutic mAbs powerfully
22 exploit these opposing activities, making them versatile drugs whose therapeutic potency can be
23 improved by specific engineering of Fc:FcγR interactions.⁷

24 Many therapeutic mAbs depend, to varying degrees, on FcγR function (Figure 1, Table 1) for their
25 mechanism-of-action (MOA) and/or their pharmacokinetic properties. For some mAbs interaction
26 with FcγR is central to their MOA, such as the destruction of a target cell by antibody dependant
27 cell-mediated cytotoxicity (ADCC) (Figure 1a) or antibody dependant cell-mediated phagocytosis
28 (phagocytosis or ADCP) (Figure 1b). This also includes, mAbs that may harness the inhibitory
29 action of FcγRIIb to modulate the proinflammatory responses of immunoreceptor tyrosine
30 activation motif (ITAM)-dependent receptor signalling complexes (Figure 1c). For other mAbs,

FcγR may play a secondary role, such as the removal or “sweeping” of all immune complexes formed by cytokine or virus-specific neutralizing antibodies or of opsonised fragments of lysed target cells which, in antigen presenting cells may also feed the antigen into the antigen presentation pathways (Figure 1d). Additionally, FcγR, particularly FcγRIIb (Figure 1e), are also key participants in the MOA of immune stimulating agonistic mAbs or apoptotic mAbs by acting as a scaffold for the additional cross-linking of mAbs already bound to a cellular target thereby inducing a signal in the target cell.

This review focusses on the cell-based effector functions that arise from the interaction of IgG with the classical human leukocyte FcγR.⁷ Although beyond the scope of this review, it should be noted that the IgG-Fc portion dictates other aspects of an antibody’s biology, including its serum half-life mediated by FcRn,³ the activation of complement C1⁸, antiviral protection via the intracellular receptor TRIM21⁹ and interactions with the Fc receptor-like, FcRL family.¹⁰

HUMAN FcγR GENERAL PROPERTIES.

The human leukocyte receptors fall into two functional groups – pro-inflammatory, activating-type receptors (FcγRI, FcγRIIa, FcγRIIc, FcγRIIIa and FcγRIIIb a.k.a CD64, CD32a, CD32c, CD16a, and CD16b, respectively) and the anti-inflammatory, inhibitory-receptor group (FcγRIIb, CD32b) which was the first immune checkpoint described.

These FcγR are high avidity sensors of immune complexes which initiate, and then modulate, cell responses. In the context of normal immune physiology, opsonised target molecules can engage various FcγR and induce a spectrum of effector responses which can be harnessed by many therapeutic mAbs (Figure 1, Table 1). These responses are not mutually exclusive and one therapeutic mAb may initiate various responses via different FcγR and via different cell types.

Understanding the importance of cell-based effector functions in the MOA of therapeutic mAbs requires an appreciation of FcγR biology (Tables 1,2,3) which also underpins future efforts to tailor new mAbs for the exploitation specific effector responses. In this review we address only key aspects of the extensive knowledge of the human leukocyte FcγR family as it relates to effector functions. A number of other reviews more comprehensively explore FcγR biology physiology, biochemistry, genetics and structure.^{7, 11-14} Notwithstanding the recognised differences between the immunobiology of human FcγR and of rodents or nonhuman primates, animal models of FcR

effector function *in vivo* have helped shape the strategies for the development of current therapeutic mAbs and are well reviewed.^{12, 15} Furthermore, humanised FcγR models will provide even greater insights into the future.¹⁶

FcγR expression on haemopoietic cells

The tissue distribution of the human leukocyte FcγR is well documented and reviewed comprehensively elsewhere.^{7, 11, 17} In the context of effector functions harnessed by therapeutic mAbs, several aspects of the cellular distribution (Table 2) should be emphasised.

FcγR expression profiles differ between cell lineages but almost all mature human leukocytes, and platelets, express at least one FcγR (Table 2). It should also be appreciated that the cellular expression levels and receptor diversity as described below is also influenced by the activation state of the cells, anatomical location and the cytokine environment which modulates FcγR expression particularly for FcγRI and FcγRIIb.¹⁸ For example resting monocyte subpopulations may express only FcγRIIa but activated macrophages FcγRI, FcγRIIa and FcγRIIIa and/or FcγRIIb.⁷

Thus, specific characteristics of leukocyte FcγR expression are summarised as follows:

FcγRI is not usually expressed until induced by cytokines such as IFN-γ on monocytes, neutrophils, macrophages, microglial cells in the brain, dendritic cells and mast cells. Its sensitivity to IFN-γ suggests its *in vivo* activity is closely tied to immune activation events. and mouse studies have suggested that it has a critical role early in immune responses.^{19, 20} Its role in the MOA of antibodies may vary with anatomical location.²¹

FcγRIIIa is expressed only in primates and shows the broadest expression of all FcγRs, being present on all innate leukocytes. It is also present also on platelets but a role in effector functions is not established but it is important in certain immune thrombocytopenias. A polymorphic form of this receptor is the only human receptor for human IgG2. This together with its limited species expression and unique ITAM-containing cytoplasmic tail (reviewed in ref .¹¹ suggests a unique function in human leukocytes. Interestingly, polymorphism of the receptor is associated with systemic lupus erythematosus (SLE) and resistance to gram-negative organisms.¹¹ A rare, hyper responsive form is a risk factor for neutrophil driven anaphylaxis in Ig replacement therapy.²²

88 **FcγRIIc** is an activating FcγR whose expression is regulated Single Nucleotide Polymorphism
89 (SNP) that permits expression in approximately 20% of humans and in whom it is present at low
90 levels on NK cells and B cells.¹¹ It has arisen by gene duplication/recombination resulting in an
91 extracellular region related to FcγRIIb, which binds IgG4, but with an ITAM-containing
92 cytoplasmic tail related to the activating receptor FcγRIIa, thus providing IgG4 with an activation
93 receptor pathway and confers a new biology of IgG4 in these individuals. Its low frequency in the
94 population may also confound *in vivo* mAb clinical testing or use but as yet there is no evidence
95 for this.

96 **FcγRIII** forms are two highly related gene products, FcγRIIIa and FcγRIIIb. The FcγRIIIa is
97 expressed on NK cells and professional phagocytes, particularly macrophages. It is only recently
98 apparent that FcγRIIIa is expressed on neutrophils, albeit at low levels, but plays a role in their
99 function.²³ FcγRIIIb is unique to humans and unlike other FcγR it is attached to cell membrane via
100 a glycosphosphatidyl anchor. It is expressed, predominantly and abundantly, on human
101 neutrophils.⁷ Its effector function depends in part on its co-expression with FcγRIIa. The lack of
102 FcγRIIIb on macaque neutrophils appears to be compensated for by an increase in FcγRIIa
103 expression.¹⁵

104 **FcγRIIb** are the inhibitory-type FcγR and arise from a single gene. They lack intrinsic pro-
105 inflammatory signalling and are instead immune checkpoints. They provide feed-back regulation
106 by antibodies, in the form of immune complexes, to inhibit B cell activation by specific antigen.
107 They also control activating-type FcγR function on innate cells. Two major splice variant forms
108 of FcγRIIb exist with differential tissue expression profiles. FcγRIIb1 preferentially expressed on
109 B lymphocytes contains a 20 amino acid cytoplasmic insertion necessary for membrane retention
110 and co-capping with the BCR. FcγRIIb2 is the predominant inhibitory receptor found on basophils
111 and neutrophils, as well as subpopulations of mast cells, dendritic cells, some monocytes
112 macrophages. FcγRIIb2 lacks the cytoplasmic insertion of FcγRIIb1 and consequently can
113 internalise rapidly including with the activating FcR when they are co-cross-linked.¹¹ It is not clear
114 which form is present on human T cells.

115 One additional comment on tissue distribution is that FcγR expression on T cells has been difficult
116 to establish unequivocally. However, there is increasing evidence that T lymphocyte populations
117 express FcγR. Some γδ T cells express FcγRIIIa and αβ T cells reportedly express FcγRIIa,

118 FcγRIIb, or FcγRIIIa but the significance with respect to effector function mediated by antibody is
119 presently unclear.²⁴⁻²⁸

120 **Expression on non-haemopoietic cells**

121 The immunobiology of FcγR is studied and understood almost exclusively in the context of
122 hematopoietic cell function but relatively recent investigations have identified and explored FcγR
123 expression on non-haemopoietic cells. These studies suggest important roles in normal immune
124 function and in the MOA of some therapeutic mAbs. The most extensively characterised receptor
125 expression is FcγRIIb which is expressed on follicular DC, airway smooth muscle and liver
126 endothelium. Its abundant expression on liver sinusoidal endothelial cells (LSEC), is estimated to
127 represent the majority of *in vivo* FcγRIIb expression.^{17, 29-31} As FcγRIIb lacks intrinsic pro-
128 inflammatory signalling function its role on these non-haemopoietic cells, involves immune
129 complex handling without the danger of, or the need for, induction of local tissue destructive
130 inflammatory responses. On LSEC its major role appears to be immune complex sweeping, a
131 process of removal of small immune complexes such as opsonised virus or macromolecules.¹⁷
132 This scavenging role by FcγRIIb on LSEC can be exploited in principle by mAbs forming small
133 soluble complexes with their targets such as anti-viral, anti-cytokine or similar antibodies.

134 **FcγR Activating or inhibitory signalling.**

135 Effector functions that are initiated via the activating-type FcγR, occur by signalling via the
136 immunoreceptor tyrosine activation motif (ITAM) pathway of immune receptors. This well
137 characterised pathway is used by B and T cell antigen receptors (BCR and TCR), the IgE receptor
138 FcεRI and IgA receptor FcαRI (reviewed extensively in^{7, 11, 32}) Induction of an activating signal
139 requires the aggregation of activating FcγR by immune complexes, or by antigen in the case of
140 the B cell antigen receptor (BCR). This aggregation at the cell membrane results in specific
141 tyrosine phosphorylation of the ITAM by src kinases, thus initiating the activation cascade.³²⁻³⁴

142 The inhibitory-type, FcγRIIb1 and FcγRIIb2, whose expression is cell-lineage restricted, modulate
143 the ITAM signalling of the BCR or the activating-type FcγRs respectively.¹¹ Their function is
144 dependent on the immunoreceptor tyrosine inhibition motif (ITIM) in their cytoplasmic tail.^{32, 33}
145 This checkpoint action requires that FcγRIIb are co-aggregated with the tyrosine phosphorylated
146 ITAM-signalling receptor complex which results also in ITIM tyrosine phosphorylation and

147 consequential recruitment of lipid or protein tyrosine phosphatases that powerfully dampen the
148 ITAM induced cell activation.

149

150 **FcγR DEPENDANT EFFECTOR RESPONSES.**

151 **Not all opsonised targets are equal: size, distance, valency and Fc geometry affect potency.**

152 To understand the immunobiology of FcγR effector responses particularly in the therapeutic mAb
153 context, it is important to appreciate that the quality and potency of such effector responses is
154 greatly affected by the nature of the IgG immune complex and/or the state of potential effector
155 cells.

156 First, opsonisation, *per se*, of a target is not necessarily sufficient to ensure FcγR interaction in a
157 way that initiates an effector response. Although it is the the IgG Fc that interacts with and clusters
158 the FcγR to induce a response, the nature of the Fab interaction with its epitope can strongly
159 influence the likelihood or potency of FcγR effector responses by influencing the density of
160 appropriately presented Fc portions.³⁵ and also the size of the immune complex.³⁶ Furthermore, the
161 display/orientation and geometry of the Fc portions, as a consequence of the Fab interaction with
162 the target epitope, can result in effector responses such as ADCC that differ substantially in
163 potency, presumably because the orientation of the Fc makes FcγR engagement more, or less,
164 accessible.^{37, 38}

165 Second, in innate effector cells at rest, the largely linear actin cytoskeleton and the extracellular
166 glycosaminoglycan glycocalyx regulate function by interacting with large glycoproteins, such as
167 CD44, arranging these into ordered “picket” fences.^{39, 40} These corral receptors, including the
168 FcγRs and sterically inhibit their interaction with ligands. Upon cell activation, cytoskeletal
169 remodelling is associated with the loss of the receptor corrals allowing FcγRs and other receptors
170 to freely diffuse, engage ligand, cluster and signal.³⁹ The influence of such surface constraints on
171 receptors and effector cell function helps explain some of the observed epitope distance
172 requirements for optimal mAb function.^{39, 41} which were apparent in a comparative study of
173 ADCC and ADCP.⁴² ADCC was optimal when the epitope was displayed close, 0.3nm “flush” or
174 1.5 nm, to the target membrane where close conjugation of effector and target by the mAb
175 presumably facilitates the delivery of pore forming proteins to the target membrane as required by

ADCC. Interestingly complement-dependant cytotoxicity which also utilises pore forming proteins for its cytotoxicity has similar distance constraints. By contrast ADCP, was poor for epitopes displayed 'flush' (within ~ 0.3 nm) of a target cell membrane but activity was restored when the epitope was displayed 1.5 nm off the membrane.⁴²

Although the action of agonistic/antagonistic mAbs is mechanistically distinct to those eliciting cytotoxicity and ADCP, the distance segregation between target and FcγR⁺ cells is also important. Indeed the membrane proximal epitopes of CD28 and CD40 are important for the FcγR function in the complex MOA of these mAbs.^{43, 44}

Clearly, the effects of immune complex valency, Fc density, presentation and geometry together with FcγR organisation in the cell membrane suggest that the development of mAbs to certain targets will be heavily influenced by the context of use. Thus, improved mAb potency may not necessarily be achieved by engineering of the Fc polypeptide or its glycan alone. A more function oriented approach early in mAb selection and development by, for example, application of rapid screening technologies that select for effector potency³⁴, followed by Fc engineering may be more productive.

ADCC and phagocytosis.

ADCC and ADCP are the most widely appreciated FcγR-dependent effector functions (Figure1a, b) and are respectively mediated primarily via FcγRIIIa on NK cells and professional phagocytes such as macrophages. These effector functions, particularly NK cell ADCC, are believed to be major components of the MOA of cytotoxic therapeutic mAbs use in cancer therapy. In addition ADCP can also occur via FcγRIIa and FcγRI⁴⁵ but the extent to which cytotoxic anti-cancer therapeutic mAbs depend on these for their MOA in patients is unclear. The improvement in clinical utility of mAbs engineered for selectively increased FcγRIII binding suggests that FcγRIIa and FcγRI may be less important *in vivo* in cell killing effects but perhaps are more important in other aspects of therapeutic efficacy - see below.

Inhibition of cell activation by FcγRIIb.

FcγRIIb is an immune checkpoint^{46, 47} and its splice variants are potent modulators of ITAM-dependent signalling (Figure1c). This modulatory function occurs only when FcγRIIb is co-

aggregated with an ITAM signalling receptor. Thus, B cell activation is modulated by the simultaneous binding of antigen, in the immune complex, to the BCR and the Fcs in the immune complex, to the FcγRIIb1 on the same cell. In innate leukocytes, the activating-type FcR i.e. FcγRI, FcγRIIa, FcγRIIc, FcγRIII as well as the high affinity IgE receptor, FcεRI, and the IgA receptor, FcαRI are all modulated by immune complex co-engagement with FcγRIIb2. The inhibitory function contributes to the MOA of therapeutic antibodies that target cell-activating molecules where the target cells also express the inhibitory FcγRIIb such as the BCR (below).

Sweeping: Clearance of small immune complexes.

The removal of immune complexes in humans depends primarily on the complement receptor pathway and to a lesser degree the FcγR. Among the FcγR it has been widely believed that immune complex removal only occurs by the phagocytosis/endocytosis by activating-type FcγR. Surprisingly, the inhibitory FcγRIIb which lacks intrinsic activating function, rapidly “sweeps” away small complexes from the circulation, (Figure 1d).^{48, 49} A major tissue involved in the clearance is likely to be the liver sinusoidal endothelial cells (LSEC) where FcγRIIb is expressed abundantly in mice and humans. This role is potentially important in resistance to viruses and toxins but may also be key to optimal performance of therapeutic IgG mAbs whose primary MOA is believed to be only neutralisation of soluble macromolecules for example cytokines or IgE.

FcγR uptake of antigen:antibody complexes and shaping the immune response.

Monoclonal antibody therapy is a form of passive immunisation. Indeed, longer term vaccine-like or vaccinal immunity has been demonstrated in anti-CD20 treated mice via FcγRIIa^{50 51} and *in vitro* recall memory responses from CD20 treated patients.⁵² Although this is dependent on FcγR and anti-CD20, the mechanism by which long term anti-tumour response is established is still unclear.

None-the less the active involvement of FcγR in the enhancement of antigen-specific immunity by uptake of immune complexes through FcγR is historically well documented in experimental systems where FcγR bind immune complexes and thereby feed antigens into the antigen presentation pathways.⁵³ This has been demonstrated *in vivo* for small immune complexes via human FcγRI on human antigen presenting cells⁵⁴ and in mice.¹⁹ Similarly the capacity of FcγRIIb to bind and rapidly internalise antigen:antibody complexes suggests it too may significantly

235 influence the feeding antigen into professional antigen presenting cells of hematopoietic origin
236 such as dendritic cells and possibly B lymphocytes.

237 Whilst not classical MHC-dependant antigen presentation, FcγRIIb on the stroma-derived
238 follicular dendritic cells influences antibody immunity by recycling antigen:antibody complexes to
239 the cell surface for presentation of intact antigen to B cells.⁵⁵

240 Although somewhat speculative, FcγRIIb rapid internalisation/sweeping of complexes by the
241 abundant LSEC, which interact with lymphocytes and can present antigen.⁵⁶ may have a
242 significant role in shaping immune responses.

243 **Scaffolding of cell-bound mAbs by FcγR⁺ cells.**

244 FcγR-expressing cells can be critical, but passive, participants in the MOA of some mAbs (Figure
245 1e). In FcγR scaffolding, IgG mAb molecules that have opsonised the cell surface of a target cell
246 are additionally crosslinked by their Fc portions engaging the FcγRs that are arrayed on the
247 surface of a second cell. This “super-crosslinking” of the target-bound mAb by the FcγR lattice or
248 “scaffold” on the adjacent cell greatly exceeds the target crosslinking by the mAb alone, *thereby*
249 *inducing a response in the target cell*. Scaffolding was originally identified as the basis of T cell
250 mitogenesis induced by anti-CD3 mAb.^{57, 58} The CD3 mAbs alone were poor mitogens but the
251 “super cross-linking” of the T cell-bound CD3 mAb by the membrane FcγR on adjacent cells,
252 particularly by monocytes, induced rapid T cell expansion and cytokine secretion but did not
253 require activation of FcγR expressing cells.⁵⁷ Regrettably, FcγR scaffolding came to prominence
254 and clinical relevance because of its causal role in the catastrophic adverse events resulting from
255 the administration of anti-CD3⁵⁷ and anti-CD28 (TGN1412)⁵⁹ mAbs.

256 None-the-less FcγR scaffold-based induction of intracellular responses in a target cell can also
257 lead to beneficial therapeutic effects. Such examples are the induction of apoptotic death in a
258 target cell, which is likely part of the MOA of Daratumumab in multiple myeloma⁶⁰ or the
259 controlled agonistic expansion of cells, for example, via CD40 mAb agonism.⁴³

260 **IgG SUBCLASSES: SPECIFICITY AND AFFINITY FOR FcγR.**

261 Most FcγR (Table 2), are weak, low-affinity receptors (affinities in the micromolar range) for IgG
262 Fc irrespective of whether the IgG is uncomplexed, monomeric IgG or when it is complexed with

antigen i.e an immune complex. The very *avid*, binding of immune complexes to an effector cell surface that, displays an array of FcγR molecules, is the result of the *collective* contributions of the *low-affinity* interactions of each Fc of the IgGs in the complex with an FcγR. This *avidity* effect is necessary as the FcγR operate *in vivo* in environments of high concentrations of un-complexed IgG (normally 3 – 12 g/L). Thus, the avid multi-valent binding of the complex out competes un-complexed, monomeric IgG. The notable exception to this is the enigmatic FcγRI. This receptor shows high, nanomolar affinity for un-complexed monomeric IgG and thus would be expected to be constantly occupied *in vivo* by the normal circulating monomeric IgG. However, IgG dissociation permits engagement with immune complexes. Furthermore FcγRI it is not expressed, or expressed poorly on resting cells requiring interferon-γ for induction of its expression, presumably at sites of inflammation.

Although the human IgG heavy chain constant domains have greater than 90% identity, key amino acid differences confer each subclass with unique structural and functional properties. IgG1 (and IgG3) are “universal” ligands, binding to all FcγRs. Formal measurement of the weak, micromolar K_D , interactions of the low affinity receptors with monomeric IgG1 also revealed differing affinities between the low affinity FcγR, with inhibitory FcγRIIb generally lowest affinity and the FcγRIII higher, sometimes referred to as a “moderate” affinity receptor.^{7, 61}

The strength of IgG1 interaction can also be affected by FcγR polymorphism and in the context of therapeutic mAbs, variation in FcγRIIIa is particularly important. The most common and possibly clinically significant polymorphism is phenylalanine /valine variation at position 158 in the IgG binding site wherein FcγRIIIa-F¹⁵⁸ binds IgG1 less well than the FcγRIIIa-V¹⁵⁸ form.

IgG4 and IgG2 have more restricted FcγR specificity. IgG4 has low affinity ($K_A \sim 2 \times 10^5 \text{ M}^{-1}$) for the inhibitory FcγRIIb but is also a high affinity ligand for FcγRI ($K_A \sim 4 \times 10^8 \text{ M}^{-1}$). IgG2 exhibits a highly restricted specificity, showing functional activity with only one polymorphic form of FcγRIIa (binding affinity $K_A \sim 4.5 \times 10^5 \text{ M}^{-1}$) which is permitted by the presence of histidine at position 131 of its IgG binding site. This FcγRIIa-H¹³¹ form is expressed in approximately 70% of the population whereas IgG2 has no functional activity on the other common allelic form, FcγRIIa-R¹³¹ which contains arginine at position 131.^{11, 61}

IgG SUBCLASSES: STRUCTURE AND PROPERTIES.

292 **The molecular basis of IgG and FcγR interactions.**

293 The extracellular regions of the FcγR are structurally similar. Each low affinity FcγR has two ecto-
294 domains, while the high affinity FcγRI has a third domain but this is not directly involved in IgG
295 binding.⁶²

296 The interaction between the IgG subclasses and the FcγR is most comprehensively defined for
297 human IgG1 by both X-ray crystallographic^{7, 62, 63} and mutagenesis structure/function analyses.⁶⁴⁻⁶⁶
298 These studies defined key regions of the IgG sequence required for interaction with their FcγRs.

299 Crystallographic analyses of the human IgG1-Fc complexed with FcγRI, FcγRIII or FcγRIII show
300 that these interactions are similar in topology, and asymmetric in nature. The second extracellular
301 domain of the FcγR inserts between the two heavy chains. Here it makes contacts with the lower
302 hinge of both H-chains and with residues of the adjacent BC loop of one CH2 domain and the FG
303 loop of the other. The N-linked glycan at asparagine 297 (N²⁹⁷) of the heavy chain is essential to
304 the structural integrity of the IgG-Fc by affecting the spacing and conformation of the CH2
305 domains. Indeed, its removal ablates FcγR binding.⁶⁷ Of particular relevance to therapeutic mAb
306 development is that the normal low affinity IgG interaction with FcγRIIIa is profoundly increased
307 by the removal of the core fucose from the N²⁹⁷ Fc-oligosaccharide.⁶⁸

308 No crystallographic data is available for IgG2 or IgG4 Fc in complex with FcγR, but mutagenesis
309 studies of the Fc and the FcγR revealed general similarity, but with critical differences, in the
310 interaction of these subclasses with their cognate FcγR.

311 **Unique features of the IgG2 and IgG4 subclasses.**

312 In IgG1, the stable interaction of the two heavy chains results from the combined effects of stable
313 covalent inter H-chain disulphide bonds and strong non-covalent interaction of the two CH3
314 domains (Table 3). In stark contrast, in IgG2 and IgG4 the interaction of the CH3 domains of each
315 H-chain is weak. Residues 392, 397, and 409 (Eu numbering) profoundly affect the stability of
316 these interactions. The difference at position 409 (R⁴⁰⁹ in IgG4, K⁴⁰⁹ in IgG1) confers a 100-fold
317 decrease in stability in the IgG4 H:H-chain interaction compared to IgG1 (Table 3).⁶⁹

318 Furthermore, the core hinge of IgG4 differs from IgG1 at position 228 (P²²⁸ in IgG1, S²²⁸ in IgG4)
319 resulting in unstable inter-heavy chain disulphide bonds. This, together with the destabilising

amino acids in the CH3, confers the unique property of half-antibody (Fab arm) exchange between different IgG4 antibodies⁶⁹ thereby creating monovalent, bispecific IgG4 antibodies *in vivo*.^{69, 70} The similarly unstable interactions between the CH3 domains in IgG2 are conferred by the interface residue M³⁹⁷, however the stable inter-H chain disulphide bonds of the core and upper hinge prevent half-molecule exchange (Table 3).⁶⁹

Additionally, IgG2 uniquely has three disulphide bond conformers (Table 3). The distinct conformers are formed with when 1) each light chain is attached to the Cys¹³¹ residue of CH1 in the heavy chain (IgG2-A conformer); 2) both light chains attach to the upper hinge (IgG2-B) or 3) one light chain is attached to the CH1 Cys¹³¹ and one to the upper hinge of the other H chain (IgG2-AB).⁷¹ This results in distinct positioning of the Fabs relative to the Fc portions in the different conformers which has implications for the interaction with antigen and the capacity of IgG2 to crosslink target molecules in the absence of FcγR binding, for example in an agonistic mAb setting.⁷²

It should also be noted that IgG3 has not been used in therapeutic mAbs despite its unique biology. The main impediment to its use are its physico-chemical properties such as susceptibility to proteolysis and propensity to aggregate that present challenges to industry-scale production and stability but protein engineering is attempting to overcome these hurdles.⁷³

THERAPEUTIC ANTIBODY DESIGN – IMPROVING mAb POTENCY

Many factors affecting FcγR dependant responses *in vivo* come into play during mAb therapy. The experience of three decades of clinical use of mAbs taken together with our extensive, albeit incomplete, knowledge of IgG and FcγR structure and immunobiology provides a war chest for the innovative development of new and highly potent mAbs through the manipulation of their interaction with the FcγR.

Therapeutic mAb engineering strategies are directed by many factors including the biology of the target, the nature of the antigen, the desired MOA, and possibly the anatomical location of the therapeutic effect.²¹ and thus to optimise potency for a desired response, the context of use is critical.

The nature of the IgG isotype.

348 Different capabilities for the recruitment and activation of the different immune effector functions
349 are naturally found in the Fc regions of the human IgG subclasses. Thus, to achieve a desired
350 MOA, the different IgG subclasses are important starting points for the selection and engineering
351 of the optimal mAb Fc. IgG1 is, in many ways, a proinflammatory or “effector-active” subclass, as
352 it can initiate the complement cascade and is a “universal” FcγR ligand.⁷⁴ Notwithstanding it is
353 also a ligand for the inhibitory FcγRIIb, IgG1 elicits proinflammatory responses through all the
354 activating-type FcγR including ADCC, ADCP and cytokine release.

355 Because of their more restricted FcγR binding profile IgG2 and IgG4 have offered some choice in
356 potentially avoiding FcR effector function without the need for Fc engineering. They have been
357 used as the backbone for therapeutic mAbs either because recruitment of patients’ effector
358 functions was unlikely to be necessary for the primary MOA of the mAb or is possibly detrimental
359 to the desired therapeutic effect.⁷⁵ However, the use of these unmodified “inert” subclasses is not
360 without consequences and underscores the need for Fc engineering to modify FcγR interactions -
361 See below “*Attenuating and ablating FcγR related functions of IgG*”.

362 Thus, the choice of IgG subclass for therapeutic mAb engineering is an important first step for
363 engineering of novel mAbs of improved specificity, potency and safety.

364 **Fc Engineering for Enhanced Anti-Cancer Therapeutics**

365 IgG1 is the pre-dominant subclass used in the development of cytotoxic mAbs where induction of
366 an activation-type response, ADCC or phagocytosis, is considered desirable.^{45, 76, 77}

367 Cytotoxic mAb cancer therapeutics can control disease progression by one or more mechanisms.
368 Their MOA include direct induction of apoptotic cell death of the cancer cell (anti-CD20, anti-
369 CD52) or by blocking receptor signalling (anti-HER2, anti-EGFR). They may also harness FcγR-
370 effector functions, including ADCC in the tumour microenvironment.⁷⁸ The approved mAbs,
371 Rituximab (anti-CD20), Trastuzumab (anti-HER2), and Cetuximab (anti-EGFR) are formatted on
372 a human IgG1 backbone and all require activating-type FcγR engagement for optimal therapeutic
373 activity.^{79, 80} This presents an example where context of therapeutic use is critical for therapeutic
374 mAb design. IgG1 antibodies bind both the activating FcγR e.g. FcγRIIIa, and the inhibitory
375 FcγRIIb. Thus in environments where effector cells co-express FcγRIIb together with FcγRI,
376 FcγRIIIa and FcγIIIa, possibly a tumour infiltrating macrophage, therapy with an IgG1 anti-cancer

cell mAb will be compromised by the ITIM action of FcγRIIb on the ITAM signalling of the activating FcγR as they would be co-engaged on the effector cell by the mAb bound to the target cell.. This leads to reduced therapeutic mAb potency. Thus, the relative contributions of activating (A) and the inhibitory (I) FcγR to the response by an effector cell, the A:I ratio, which may be an important determinant in clinical outcome of therapeutic mAb activity^{76, 81, 82} i.e. the higher the A:I ratio, the greater the pro-inflammatory response induced by the therapeutic mAb or conversely the lower the A:I ratio the greater the inhibition or dampening of the proinflammatory response.

Thus, the challenge for the development of more potent FcγR effector mAbs is to overcome three major obstacles. First, improving activation potency by selectively enhancing interaction with activating type FcγR, particularly FcγRIIIa due to its predominant role in ADCC-mediated killing of tumour cells. Second, reducing binding interactions with the inhibitory FcγRIIb. These two approaches improve the FcγR A:I ratio of cytotoxic IgG1 mAbs. Third, overcoming the significant affinity difference in the interaction with the main FcγRIII allelic forms of FcγRIIIa-V¹⁵⁸ and FcγRIIIa-F¹⁵⁸ refs 76, 83, 84 which appears to be an important source of patient variability in responses to therapeutic mAb treatment of cancer.

At the time of writing, some mAbs with improved potency are coming into clinical use. Their improved action has been achieved by modifying the N-linked glycan or the amino acid sequence of the heavy chain Fc (Table 4).

Modification of the Fc glycan. The typical complex N-linked glycan attached to N²⁹⁷ of the heavy chain includes a core fucose.⁸⁵ Antibodies that lack this fucose have ~50-fold improved binding to FcγRIIIa and FcγRIIIb and importantly retain the weak, low affinity binding to the inhibitory FcγRIIb. Furthermore this glycoengineering increased binding affinity of the modified IgG1 mAb for both FcγRIIIa V¹⁵⁸ F¹⁵⁸ allelotypes.⁸⁶⁻⁸⁸ Afucosyl versions of the tumour targeting mAbs such as anti-HER2, anti-EGFR, and anti-CD20 had greater anti-tumour effects and increased survival^{68, 88, 89} which is a reflection of the greatly increased, and selective, FcγRIII binding. Compared to their unmodified counterparts, the afucosyl mAbs showed dramatic improvement of FcγRIII related effector responses such as stronger NK cell-mediated ADCC, or enhanced neutrophil mediated phagocytosis through FcγRIIIb and/or FcγRIIIa.²³ However certain neutrophil functions via FcγRIIIa may be compromised.^{90, 91}

406 There are six afucosylated antibodies in late-stage clinical trials or approved for treatment (Table
407 4). Notable is Obinutuzumab, an afucosyl anti-CD20 mAb which nearly doubles progression-free
408 survival in chronic lymphocytic leukaemia patients as compared to the fucose-containing
409 Rituximab.⁶⁸ This dramatic improvement in clinical utility reinforces the value of glycan
410 engineering specifically and of Fc engineering generally in anti-cancer treatments.

411 *Mutation of the Fc amino acids.* Alteration of the amino acids in the heavy chain Fc can alter IgG
412 specificity and affinity for activating FcγRs. The anti-CD19 antibody MOR208 (XmAb-5574) is
413 currently in Phase III trials for the treatment of chronic lymphocytic leukemia.⁹² It contains two
414 mutations in its IgG1 Fc, S³²⁹D and I³³²E, which increases affinity to FcγRIIIa, particularly the
415 “lower-affinity” FcγRIIIa F¹⁵⁸ allele. The mAb shows increased FcγRIII-mediated ADCC and
416 phagocytosis *in vitro*, and reduced lymphoma growth in mouse models.

417 Margetuximab is an ADCC-enhanced IgG1 Fc engineered variant of the approved anti-HER2
418 mAb Trastuzumab in Phase III for HER2-expressing cancers.^{66, 93} Alteration of five amino acid
419 (L²³⁵V, F²⁴³L, R²⁹²P, Y³⁰⁰L, and P³⁹⁶L) enhanced binding to FcγRIIIa which also had the
420 additional effect of decreasing binding to the inhibitory FcγRIIb and thereby increased its A:I
421 FcγR ratio. This was apparent when compared to unmodified Trastuzumab, Margetuximab
422 showed enhanced ADCC against HER2⁺ cells *in vitro* and demonstrated superior anti-tumour
423 effects in a HER2-expressing tumour model in mice.

424 The anti-CD20 Ocaratuzumab is an Fc engineered IgG1 mAb in late stage clinical trials for the
425 treatment of a range of cancers, including Non-Hodgkin Lymphoma and chronic lymphocytic
426 leukaemia (CLL).⁹⁴ Two Fc mutations P²⁴⁷I and A³³⁹Q conferred ~20-fold increase in binding to
427 the both major allelic variants of FcγRIIIa and elicited 6-fold greater ADCC than unmodified
428 IgG1.

429 Thus, the engineering of the Fc domain or glycan for improved FcγRIIIa binding is a powerful
430 tool to create more potent and clinically effective anti-cancer mAbs.

431 **Attenuating and ablating FcγR related functions of IgG.**

432 There are circumstances where binding to FcγR is unnecessary or undesirable in the MOA of a
433 therapeutic mAb. Unmodified IgG irrespective of its subclass or intended therapeutic effect has
434 the potential to engage an FcγR which may lead to suboptimal therapeutic performance or to

unexpected and catastrophic consequences.^{57, 59} Clearly reducing or eliminating FcγR interactions, where they are not required for therapeutic effect, may be desirable. Indeed, this had been addressed by the choice of IgG subclass or by modifying the Fc region. Indeed to date, most efforts in Fc engineering mAbs that have translated to an approved drug have focused on the reduction or elimination of FcγR interactions (Table 4).

One approach to minimise interactions with the activating FcγR has been the use of IgG4 or IgG2 backbones, which show a more restricted specificity for the activating FcγR and consequently have been traditionally, and simplistically, viewed as “inert” IgG subclasses. Notwithstanding the unexpected, and FcγR-dependant, severe adverse reaction induced by the IgG4 TGN1412 mAb, the IgG4 or IgG2 backbones have been successfully used in many settings. Indeed, checkpoint inhibitors, such as mAbs targeting CTLA-4 or the PD-L1/PD-1 interaction for the suppression of inhibitory signals that contribute to immune tolerance in the tumour microenvironment, are formatted on an IgG4 backbone. Pembrolizumab, Nivolumab, and Cemiplimab are all anti-PD-1 antibodies currently used for cancer therapy and have been formatted on an IgG4 backbone⁹⁵⁻⁹⁷ with a stabilised core hinge (S²²⁸P) to prevent half-IgG4 exchange. Similarly, the checkpoint inhibitor Tremelimumab is an anti-CTLA-4 antibody formatted on an IgG2 backbone to avoid potential ADCC killing of target cells.⁹⁸

However, the use of IgG2 and IgG4 as “inert” subclasses is problematic. Both bind to the activating receptors FcγRIIa-H¹³¹ and FcγRI respectively (Table 2) and initiate effector functions such as neutrophil activation and apoptosis induction.^{75, 99} Interestingly, in experimental systems, crosslinking of anti-PD-1 IgG4-based mAb by FcγRI, switched its activity from blocking to activatory.¹⁰⁰ Moreover, IgG4 binds to FcγRIIb, which may scaffold the therapeutic mAb. Whilst scaffolding may be beneficial in some contexts for example in immune agonism⁴³ it can be disastrous and unexpected in others as it was for the anti-CD28 TGN1412 mAb.⁵⁹ Thus, the IgG2 and IgG4 subclasses are not be the optimum choice for “FcR-inactive” mAbs so modifying the Fc is a more direct approach.

The complete removal of the heavy chain N-linked glycan is well known to ablate all FcγR binding by dramatically altering the Fc conformation.^{36, 67, 101, 102} Atezolizumab, an IgG1 anti-PD-L1 checkpoint inhibitor mAb, utilises this strategy and eliminates FcγR and also complement activation.¹⁰³

465 Modification to the Fc amino acid sequence of the FcγR-contact regions can also be used to reduce
466 FcγR binding. A widely used modification of IgG1 is the substitution of leucine 234 and 235 in
467 the lower hinge sequence (L²³⁴ L²³⁵ G²³⁶ G²³⁷) with alanine (L²³⁴A L²³⁵A). It is often referred to as
468 the “LALA mutation” and effectively eliminates FcγR binding by >100fold^{104, 105} and are used in
469 Teplizumab and Spesolimab (Table 4).

470 A separate strategy has used combinations of amino acid residues from the FcγR binding regions
471 of IgG2 and IgG4, which have restricted FcγR specificity, together with other binding-inactivating
472 mutations. The lower hinge amino acids of the IgG1 mAbs Durvalumab (anti-PD-L1) and
473 Anifrolumab (anti-interferon α receptor) (Table 4) were modified to mimic the lower hinge of
474 IgG4 (L²³⁴F). They additionally incorporated L²³⁵E also in the lower hinge and P³³¹S in the F/G
475 loop of the CH2 domain to ablate FcγR binding by disrupting two major FcγR contact sites.⁷ and
476 also coincidentally decreasing C1q activation.¹⁰⁶

477 IgG4 mAbs have been similarly engineered to eliminate its interaction with FcγRI and FcγRIIb.
478 The IgG4 anti-PD-1 antibody Tislelizumab has had its FcγR contact residues in the lower hinge
479 E²³³, F²³⁴, L²³⁵ substituted with the equivalent residues of IgG2 P, V, A (E²³³P, F²³⁴V, L²³⁵A) as
480 well as the additional D²⁶⁵A mutation which disrupts a major FcγR contact in CH2. It also has
481 substitutions in the core hinge S²²⁸P and the CH3 L³⁰⁹V, R⁴⁰⁹K to stabilise the H-chain disulphides
482 and CH3 interactions respectively and thereby preventing half-Ig exchange characteristic of
483 natural IgG4. Collectively these modifications create a stable IgG4 with no FcγR binding nor
484 complement activation.¹⁰⁷

485 Thus, Fc engineering is an effective way to remove FcγR effector functions and may be preferable
486 to using unmodified IgG2 or IgG4 backbones have a more restricted repertoire of FcγR
487 interactions but which are still able to induce certain effector functions.

488 **Improving FcγRIIb interactions.**

489 Preferential or specific Fc engagement of FcγRIIb over the activating FcγR offers several potential
490 therapeutic advantages for new mAbs in distinct therapeutic settings.

491 *Improved recruitment of FcγRIIb ITIM-dependant inhibitory function.* Harnessing the
492 physiological inhibitory function of FcγRIIb by mAbs that target ITAM receptors has the potential
493 to shut down ITAM dependent signalling pathways of major importance in antibody

494 pathologies.^{32, 108} Such ITAM signalling receptors include the BCR complex on B cells which is
495 active in SLE, the FcεRI on basophils and mast cell subsets in allergies or the activating-type FcγR
496 on a variety of innate leukocytes in antibody-mediated tissue destruction. In such scenarios, the
497 ITAM signalling receptor complex that is targeted by the therapeutic mAb *must be co-expressed*
498 on the cell surface with the inhibitory FcγRIIb. This permits co-engagement ITAM signalling
499 receptor by the Fab of the mAb and inhibitory FcγRIIb by its Fc which is the critical requirement
500 in the inhibitory MOA for such therapeutic mAbs (Figure 1).

501 Obexelimab (aka XmAb5871) (Table 4) currently in early clinical testing in inflammatory
502 autoimmune disease is an IgG1 mAb that targets CD19 of the B cell antigen receptor complex.¹⁰⁹
503 It contains two Fc modifications, S²⁶⁷E and L³²⁸F (a.k.a. “SELF” mutations) that selectively
504 increased FcγRIIb binding 400 fold to ~1nM resulting powerful suppression of BCR signalling
505 and the proliferation of primary B cells.¹⁰⁹

506 The anti-IgE mAb Omalizumab is an IgG1 mAb, approved for the treatment of allergic
507 disorders.^{110, 111} A similar but Fc engineered IgG1 mAb XmAb7195, currently in early clinical
508 testing, contains the affinity-enhancing SELF modifications.¹¹² Both mAbs sterically neutralise the
509 interaction between IgE and its high-affinity receptor FcεRI to prevent basophil and mast cell
510 activation.^{113, 114} However, XmAb7195 exhibited more efficient removal (sweeping –see below) of
511 circulating IgE and also inhibited B cell IgE production, presumably by binding to the IgE BCR
512 on the B cell surface and co-clustering with FcγRIIb via its affinity-enhanced Fc domain.¹¹² Thus,
513 XmAb7195’s selective modulation of IgE production by IgE⁺ B cells in addition to its enhanced
514 clearance of IgE may offer significantly improved therapeutic benefits in allergy therapy beyond
515 simple IgE neutralisation.¹¹² Note also the ‘SELF’ mutations have also been used in agonistic
516 mAbs (see below).

517 One cautionary note is that the arginine 131 (R¹³¹) of the IgG binding site in FcγRIIb is critical for
518 the enhanced affinity binding of ‘SELF’ mutated Fcs but it is also present in the activating-type
519 “high responder” FcγRIIa-R¹³¹. Thus, antibodies modified with ‘SELF’ have very high affinity
520 binding to FcγRIIa-R¹³¹ ref¹¹⁵ with a potentially increased risk of FcγRIIa-dependent complications
521 in patients expressing this allelic form but none have been reported in clinical trial. However, an
522 alternative set of six Fc mutations, termed “V12” (P²³⁸D, E²³³D, G²³⁷D, H²⁶⁸D, P²⁷¹G, and A³³⁰R),
523 potently enhance FcγRIIb binding without increasing FcγRIIa-R¹³¹ interaction.¹¹⁵

524 *Enhancing the Sweeping of Small Immune complexes.* The expression of FcγRIIb on LSEC and its
525 action in the ‘sweeping’ or removal of small immune complexes has opened up new possibilities
526 for the application of FcγRIIb-enhancing modifications.¹⁷ Antibodies or Fc fusion proteins, whose
527 primary MOA is the neutralisation of soluble molecules such as IgE or cytokines are particularly
528 attractive candidates for this approach. Proof-of-concept for this strategy has been demonstrated in
529 experimental models.⁴⁸ Indeed, this may be a significant component of the rapid disappearance of
530 IgE from the circulation of patients treated with the anti-IgE XmAb7195 containing the FcγRIIb
531 enhancing ‘SELF’ modifications, as described above.

532 *Immune agonism through FcγR scaffolding.* Agonistic mAbs induce responses in target cells by
533 stimulating signalling of their molecular target. Typically this is to either enhance anti-tumour
534 immunity by engaging co-stimulatory molecules on antigen-presenting cells or T cells (i.e. CD40,
535 4-1BB, OX40) or to promote apoptosis by engaging death receptors on cancer cells (i.e. DR4,
536 DR5, Fas).¹¹⁶

537 The role of FcγR in the action of these types of mAbs appears to be primarily as a scaffold.
538 FcγRIIb is often the predominate receptor involved and the extent of its involvement is complex.
539 In the case of CD40, the degree of FcγRIIb scaffolding potency is linked to the epitope location of
540 the targeting mAb with greater potency seen for membrane proximal epitopes.^{43, 117} It is also
541 noteworthy that depending on the epitope location, the scaffolding of anti-CD40 mAbs may
542 convert antagonist mAbs to agonistic.

543 Engineering of the IgG1 Fc region for enhanced and/or specific binding to FcγRIIb can greatly
544 improve agonistic function.^{72, 118-120} Such mutations induced significantly greater agonistic activity
545 in an anti-DR5 model through increased induction of apoptotic death and decreased tumour
546 growth compared to unmodified IgG1.¹²¹ The ‘SELF’ modifications that dramatically and
547 selectively increase affinity for FcγRIIb, have also been used to enhance immune agonism in an
548 anti-OX40 model.¹²²

549 The incorporation into IgG1 of the “V12” Fc mutations which specifically enhance FcγRIIb
550 interaction 200-fold, conferred enhanced agonistic activity of an anti-CD137 antibody and an anti-
551 OX40 mAb.^{115, 122}

552 FUTURE ENGINEERING STRATEGIES

553 Monoclonal antibodies are potent therapeutics in a number of chronic or once incurable diseases.
554 However, there is still extensive unmet clinical need as well as considerable room for
555 improvement in many existing therapeutics.

556 Further understanding of how antibody structure affects FcγR function is essential for future
557 development of more potent and effective mAbs. Already, engineering of the IgG Fc and its
558 glycan has proved a potent and effective approach for increasing the clinical effectiveness,
559 functional specificity and safety of therapeutic mAbs and is an emerging pathway to the
560 development of the “next-gen” therapeutics.

561 Future directions in the development and use of therapeutic antibodies should increasingly mimic
562 normal protective antibody responses, which are polyclonal and elicited in the context of innate
563 receptor engagement which includes the FcR as well as other powerfully responsive systems
564 including the toll-like receptors and complement receptors. Furthermore, the mixed subclass
565 nature of these normal antibody responses suggest circumstances may arise in therapeutic
566 strategies where there is value in having distinctly modified Fcs for the nuanced engagement of
567 different FcγR family members. Treatments comprising multiple mAbs and immune stimulants are
568 under investigation in infectious disease for neutralisation coverage of variant strains, indeed such
569 an approach may be most effective in emerging infections disease such as SARS-CoV-2 infection.
570 The use of multiple mAbs tailored for distinct effector functions and targeting different epitopes
571 will maximise the opportunity for cocktailing of effector functions in different types of diseases.
572 Indeed, in a small but contemporary example outside of infectious disease, the FDA approved
573 combination in adenocarcinoma therapy uses a cocktail of two mAbs, Pertuzumab and
574 Trastuzumab, against Her2.¹²³

575 Rather than one type of Fc to conquer all, the combined use of appropriately selected mAbs whose
576 individual components are enhanced for the engagement of different FcγR members may utilise
577 multiple components of the spectrum of effector responses on offer by the immune system. Such
578 ‘next-gen’ biologics will begin to realise the full potential of FcγR-mediated antibody immune
579 therapeutics and offer transformational change for the treatment of intractable and incurable
580 diseases.

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584 **Conflict of interest**

585 NONE

586

Figure 1 Legend.

Graphical representation of the FcγR effector functions. (a), Natural Killer cell Antibody Dependant Cell-mediated Cytotoxicity via FcγRIIIa. (b), Antibody Dependant Cell-mediated Phagocytosis, and/or trogocytosis of large immune complexes, by professional phagocytes via activating FcγR such as FcγRIIIa and FcγRIIa. Biological sequelae include the destruction of the ingested complexes which may also feed antigen into antigen presentation pathways of APC. (c), Inhibition of cell activation by FcγRIIb. The ITAM-mediated signalling of B cell antigen receptors (left) or of activating FcγR (right) on innate immune cells such as macrophages and basophils, is inhibited by IgG Fc mediated co-crosslinking of these activating receptors with the inhibitory FcγRIIb. This leads to phosphorylation of the FcγRIIb ITIM and consequently recruits the phosphatases that modulate the ITAM driven signalling responses leading to diminished cell responses. (d), Sweeping or internalisation of small immune complexes leading to their removal and, in APC, to enhanced immune responses. (e), Scaffolding in which the FcγR play a passive role. Typically involving FcγRIIb, no signal is generated in the effector cell but “super cross-linking” of the opsonising antibody by the FcγR on one cell generates a signal in the adjacent target cell for example induction of apoptosis or activation in agonistic expansion of cells and /or their secretion of cytokines. In extreme cases this leads to life-threatening cytokine storm.

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Table 1 FcγR responses relevant to therapeutic mAbs

FcγR mediated MOA	<i>Effector Responses</i>	<i>Action</i>	<i>Dominant receptor</i>
<i>Activation</i>	<ul style="list-style-type: none"> • ADCC • ADCP, trogocytosis • Antigen presentation 	<ul style="list-style-type: none"> • Direct killing of target cell • Direct killing of target cell • Vaccine-like immunity post-mAb therapy 	<ul style="list-style-type: none"> • FcγRIIIa; • FcγRIIIa, FcγRIIa • FcγRIIa, FcγRI, FcγRIIIa
<i>Inhibition</i>	<ul style="list-style-type: none"> • Reduce B cell proliferation or innate cell activation by antibody complexes 	<ul style="list-style-type: none"> • Inhibition of ITAM cell activation i.e. BCR, activating-type FcR i.e. FcγR, FcεRI, FcαRI. NOTE the FcγRIIb must be co-cross-linked with the ITAM activating receptor. 	<ul style="list-style-type: none"> • FcγRIIb
<i>Sweeping</i>	<ul style="list-style-type: none"> • internalisation 	<ul style="list-style-type: none"> • Removal of small immune complexes 	<ul style="list-style-type: none"> • FcγRIIb
<i>Scaffolding</i>	<ul style="list-style-type: none"> • Target agonism or apoptosis 	<ul style="list-style-type: none"> • Passive “super-crosslinking” of mAb on opsonised target cell e.g. CD40, CD28, CD20 by FcγR on an adjacent cell 	<ul style="list-style-type: none"> • FcγRIIb; also FcγRIIa, FcγRI?

Table 2: Properties of FcγR.

Receptor	Affinity	IgG specificity	Cell distribution
<i>FcγRI</i>	High	IgG1, IgG3, IgG4	IFN-γ induced on monocytes, neutrophils, macrophages, dendritic cells subpopulations; mast cells.
<i>FcγRIIa</i>	low	IgG1, IgG3, IgG2 (limited to only FcγRIIa-H ¹³¹ form, ~70% people)	All leukocytes and platelets except T and B lymphocytes.
<i>FcγRIIc</i> [†]	low	IgG1, IgG3, IgG4	NK cells.
<i>FcγRIIIa</i>	Low	IgG1, IgG3. Binding avidity reduced by Phe at position 158	NK cells, macrophages, subpopulation of circulating monocytes, myeloid dendritic cells, neutrophils-at very low levels
<i>FcγRIIIb</i>	low	IgG1, IgG3,	Neutrophils
<i>FcγRIIb</i>	low	IgG1, IgG3, IgG4	B lymphocytes, some monocytes (can be upregulated); basophils; eosinophils?; Plasmacytoid and myeloid dendritic cells; NK cells only of individuals with FcγRIIIb CNV. Airway smooth muscle, LSEC, placenta, follicular DC,

[†] Expressed in 20% of people.

Table 3 Unique features of IgG subclass Fc and hinge

IgG subclass	FcγR specificity	Light chain attachment	Hinge characteristics	Fc stability	Comment
IgG1	All FcγR	Upper hinge	Light chain attachment Stable core hinge	Stable	Fc is >100X times more stable than IgG4 and IgG2.
IgG2	FcγRIIa His ¹³¹	CH1 of Fab and/or upper hinge	Stable core hinge with additional inter H–chain disulphide bonds in the upper hinge.	Unstable CH3:CH3	Alternative light chain attachment creates distinct conformers. Unlike IgG4, the CH3:CH3 instability does not lead to half molecule exchange due to stable core and upper hinge disulfides.
IgG4	FcγRI, FcγRIIb, FcγRIIc	CH1 of Fab	Labile core hinge	Unstable CH3:CH3	Combined instability of core hinge and CH3:CH3 permits half molecule exchange

Table 4 Fc or hinge engineered mAbs approved or in advanced clinical development.

mAb Name	Target	IgG Backbone	Fc modification	Effect on mAb	Therapy Area	Most Advanced Development Stage
<i>Andecaliximab</i>	Matrix Metalloproteinase 9 (MMP9)	IgG4	S ²²⁸ P	Stabilise core-hinge	Oncology	Phase III
<i>Anifrolumab</i>	Interferon Alpha/Beta Receptor 1	IgG1	L ²³⁴ F; L ²³⁵ E; P ³³¹ S	Mimic IgG4 hinge and its CH2/F/G loop; plus ablate FcγR binding	Immunology	Phase III
<i>Atezolizumab</i>	PD-L1	IgG1	Aglycosylated (N ²⁹⁷ A)	Ablate FcγR binding	Oncology	Marketed
<i>Benralizumab</i>	Interleukin 5	IgG1	Afucosylated	Selectively enhance FcγRIII interaction	Respiratory Dermatology; Ear Nose Throat Disorders; Gastrointestinal; Hematology;	Marketed

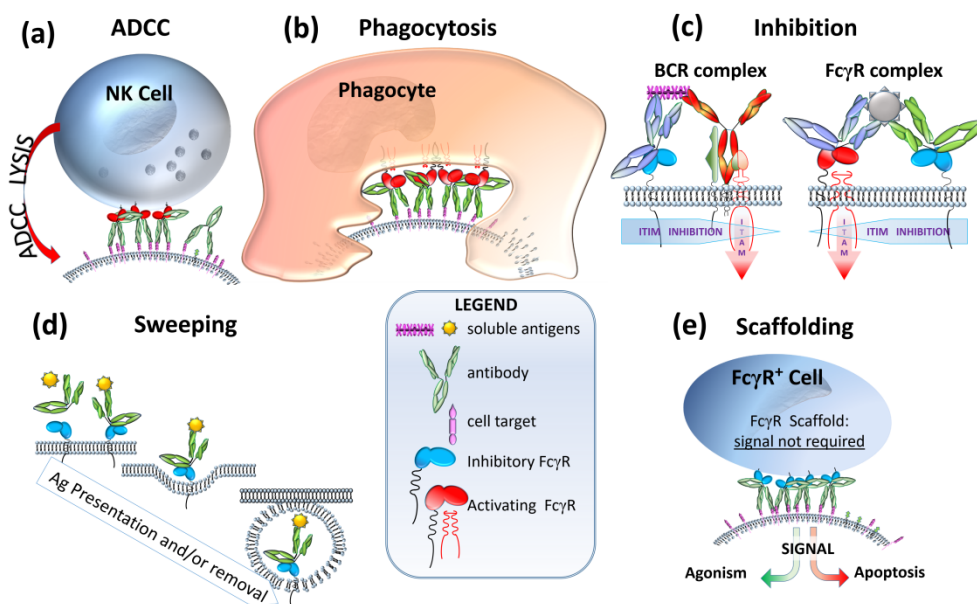
Immunology;

<i>Durvalumab</i>	PD-L1	IgG1	L ²³⁴ F; L ²³⁵ E; P ³³¹ S	Mimic IgG4 hinge and its CH2 F/G loop; plus, ablate FcγR binding	Oncology	Marketed
<i>Evinacumab</i>	Angiopoietin Related Protein 3	IgG4	S ²²⁸ P	Stabilise core-hinge	Metabolic Disorders	Phase III
<i>Inebilizumab</i>	CD19	IgG1	Afucosylated	Selectively enhance FcγRIII interaction	Central Nervous System; Oncology	Phase III
<i>Ixekizumab</i>	Interleukin 17A	IgG4	S ²²⁸ P	Stabilise core hinge	Dermatology; Immunology; Musculoskeletal Disorders	Marketed
<i>Margetuximab</i>	HER2	IgG1	F ²⁴³ L; L ²³⁵ V; R ²⁹² P; Y ³⁰⁰ L; P ³⁹⁶ L	Selectively enhance FcγRIII interaction	Oncology	Phase III
<i>Mogamulizumab</i>	C-C Chemokine Receptor Type 4	IgG1	Afucosylated	Selectively enhance FcγRIII interaction	Central Nervous System; Oncology	Marketed

	(CCR4)					
<i>Tafasitamab</i> (MOR208 Xmab-5574)	CD19	IgG1	S ²³⁹ D; I ³³² E	Selectively enhance FcγRIII interaction	Oncology	Phase III
<i>Nivolumab</i>	PD-1	IgG4	S ²²⁸ P	Stabilise core hinge	Infectious Disease; Oncology	Marketed
<i>Obinutuzumab</i>	CD20	IgG1	Afucosylated	Selectively enhance FcγRIII interaction	Immunology; Oncology	Marketed
<i>Ocaratuzumab</i>	CD20	IgG1	P ²⁴⁷ I; A ³³⁹ Q	Selectively enhance FcγRIII interaction	Oncology	Phase III
<i>Pembrolizumab</i>	PD-1	IgG4	S ²²⁸ P	Stabilise core hinge	Infection; Oncology	Marketed
<i>Roledumab</i>	Rhesus D	IgG1	Afucosylated	Selectively enhance FcγRIII interaction	Hematological Disorders	Phase III
<i>Spesolimab</i> (BI-655130)	IL-36R	IgG1	L ²³⁴ A; L ²³⁵ A	Ablate FcγR binding	Gastrointestinal; Immunology	Phase III
<i>Teplizumab</i>	CD3	IgG1	L ²³⁴ A; L ²³⁵ A	Ablate FcγR binding	Metabolic Disorders	Phase II

<i>Tislelizumab</i>	PD-1	IgG4	S ²²⁸ P; E ²³³ P; F ²³⁴ V; L ²³⁵ A; D ²⁶⁵ A; L ³⁰⁹ V; R ⁴⁰⁹ K	Stabilise core hinge; Mimic IgG2 lower hinge for restricted FcγR specificity; Ablate FcγR binding; Stabilise CH3 interaction	Oncology	Phase III
<i>Toripalimab</i> (JS 001)	PD-1	IgG4	S ²²⁸ P	Stabilise core hinge	Oncology	Phase III
<i>Ublituximab</i>	CD20	IgG1	Afucosylated	Selectively enhance FcγRIII interaction	Central Nervous System; Oncology	Phase III

Figure 1



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